Atty. Dkt. No. ABI1460-2 (071243-1301)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Desai and Soon-Shiong

Title:

NOVEL FORMULATIONS OF

PHARMACOLOGICAL AGENTS,

METHODS FOR THE

PREPARATION THEREOF AND

METHODS FOR THE USE

THEREOF

Appl. No.:

09/446,783

Filing Date: 05/16/2002

Examiner:

HARTLEY

Art Unit:

1616

CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below. Stephen E. Reiter (Printed Name) (Signature)

INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR §1.56**

Mail Stop Amendment-IDS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. The documents identified herein were cited during prosecution of commonly assigned cases directed to related subject matter.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to

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antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

FEE

A fee in connection with submission of an information disclosure statement under 37 CFR §1.97(c) in the amount of \$180.00 in accordance with 37 CFR §1.17(p) is attached.

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The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted,

Date

3/10/05

FOLEY & LARDNER LLP

Customer Number: 30542

Telephone:

(858) 847-6711

Facsimile:

(858) 792-6773

Ву

Stephen E. Reiter

Attorney for Applicant

Registration No. 31,192

MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATIO	N DISCLOSURE	Application Number	09/446,783		
	BY APPLICANT	Filing Date	May 16, 2002		
AUG 1 5 2000		First Named Inventor	Desai and Soon-Shiong		
\		Group Art Unit	1616		
(use as many sh	eets as necessary)	Examiner Name	Hartley		
Sheet	of 1	Attorney Docket Number	ABI1460-2 (071243-1301)		

U.S. PATENT DOCUMENTS							
	0::	U.S. Patent Document			Date of Publication of	Pages, Columns, Lines, Where Relevant	
	Cite No. ¹	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	
	A1	4,861,579		Meyer, Jr. et al.	08-29-1989		
	A2	4,975,278		Senter et al.	12-04-1990		
	A3	5,415,869		Straubinger	05-16-1995		
-	A4	5,565,478		Kohn	10-15-1996		

FOREIGN PATENT DOCUMENTS									
Examiner Cite No.1	Cite	Foreign Patent Document				Name of Patentee or	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant	
	No. ¹	Office ³	Number⁴	Kind Co		Applicant of Cited Document	s MM-DD-YYYY	Passages or Relevant Figures Appear	T ⁶
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NON PATENT LITERATURE DOCUMENTS						
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^{*}EXAMINER: tnitial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.